# **Tradeoffs and synergies between biofuel production and large solar infrastructure in deserts**

## **Supporting Information**

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#### **Land footprint and water use of solar installations**

To estimate the land footprint and water use of large solar installations in drylands, we compiled data from project planning reports - from California Energy Commission<sup>1</sup> and Bureau of Land Management<sup>2</sup> - of large solar Photo Voltaic (PV) and Concentrated Solar Power (CSP) Projects in the southwestern United States which are approved for construction or are already under construction.

The solar PV technology involves the use of a semiconductor material (e.g. Silicon) to convert sunlight into electricity. The PV systems can range from small-scale residential systems to large utility scale systems. The CSP system involves the use of mirrors to concentrate sunlight to produce intense heat energy, which is used to produce electricity as in a conventional thermoelectric power plant (using a conventional steam turbine). The CSP systems, mostly installed as utility scale systems, will involve a cooling system where the cooling agent can be air (dry cooled) or water (wet cooled). Parabolic trough, the dominant CSP technology, uses parabolic mirrors to focus sunlight and to heat up the fluid in the receiver pipe located along the focal point of the trough. Power tower technology (Central Tower) uses a field of mirrors to focus sunlight onto a central tower receiver, which has a heat transfer fluid. The intense heat is used to generate electricity using a conventional steam turbine. The major advantage of CSP technologies is that they allow the efficient storage of energy<sup>3</sup>.

Our compilation covers both the land area use for the solar infrastructure and the right of way land area (ROW) requested by the solar companies for additional support facilities like transmission lines and roads. The annual water uses for large solar facilities were partitioned into construction and operation phases, assuming a 30-year life for the installations.

The solar installations considered are located (under construction or approved for construction) in the states of California, Arizona and Nevada. For the land area and water use of solar installations, the data included 28 large solar installations in the Southwestern United States ranging from 100 MW to 1000 MW (13 PV, 10 CSP with Parabolic trough, 5 CSP with Tower). The data on the Right of Way land area for 10 solar installations were used to derive a linear relationship between land area under the installation and ROW allotted to the solar companies.

The annual water uses for large solar facilities were partitioned into construction and operation phases, assuming a 30-year life for the installations. Water use in the construction phase is for dust suppression from disturbed soils and water use in the operation phase is for cleaning panels or mirrors. The data included 5 solar PV installations, 3 CSP parabolic troughs with dry cooling and 4 CSP central towers.

#### **Life Cycle Analysis**

To explore the logistic and economic feasibility of integrated solar - agave biofuel systems we conduct detailed life cycle analysis for agave-derived biofuel, solar PV and a hypothetical colocated solar-agave system to explore the tradeoffs and synergies (in the context of energy, water, and greenhouse gas emissions) between these two emerging land uses. **Figure S1** represents the conceptual framework of the life cycle analysis and the lifecycle stages considered.



**Figure S1.** Life cycle analysis (energy, emissions and water) of agave-derived ethanol (a) and solar PV (b).

#### **Life cycle of agave-derived ethanol**

We adopted the biofuel production  $\&$  life cycle analysis methodologies followed by Yan et al., (2011), Macedo et al., (2008) and Farrell et al., (2006)  $4,5,6$ , which are based on the production pathways used in the tequila industry in Mexico and the Brazilian sugarcane industry (**Figure S2)**. We implemented the life cycle model in R (R: a language and environmental for statistical computing and graphics by R Foundation for Statistical Computing, Vienna, Austria, 2013).



**Figure S2.** Stages consider in the life cycle analysis of agave-derived ethanol.

The life cycle stages for agave-derived ethanol are agave cultivation, harvest and transport, and ethanol production. The sugar extracted from agave juice is used for ethanol production while the cellulosic residues are combusted in a cogeneration system to provide process energy with excess electricity exported to the electrical grid.

**Cultivation stage**: We considered the embedded energy and GHG emissions from fertilizers and agrochemicals. We also considered the diesel energy and GHG emissions resulting from transport of agrochemicals and fertilizers (including ash and filter cake). Farm inputs include fertilizers (Nitrogen, Phosphorus and Potassium), agrochemicals (pesticide and herbicide), ash & filter cake (as fertilizers) and planting material. In addition, stillage is applied to baseline and high yield scenarios<sup>5</sup>. Transport energy and GHG emissions involves diesel use (embedded energy and GHG of diesel fuel). Soils in southwestern US are generally not acidic, so lime application is not required. The cultivation stage of agave also includes embedded energy and GHG of machinery and diesel fuel consumption. The energy and emission factors for farm labor energy use and planting material are also considered. In addition, for the high and baseline yield scenarios of agave we included the energy and GHG emissions resulting from irrigation. We used the Kansas State University Irrigation Energy (electricity) Cost Comparison Program<sup>7</sup> (A program to compare the cost of irrigation energy options). Application rate and energy requirements for stillage application (for high and baseline yield scenarios) were adopted from Yan et al (2011).<sup>5</sup> The data used for the cultivation stage are provided in **Table S1**.

**Harvest and transport stage**: Yield at harvest (fresh and dry yield of stem, usable leaves and residue) was calculated based on the data provided in **Table S2**. In this stage we considered the energy and emissions resulting form diesel use for mechanical harvesting and transport to ethanol processing plant. The data used in the harvest and transport stage are provided in **Table S3**.

**Ethanol processing**: The sugar extracted from agave juice is used for ethanol production while the cellulosic residues are combusted in a cogeneration system to provide process energy with excess electricity exported to the electrical grid. In this stage we considered cooking, milling, fermentation and distillation.<sup>5</sup> We considered the energy and GHG from chemicals (ammonium sulphate), lubricant and antiform used in the processing stage and diesel use to transport the chemicals. Ammonium sulphate is added as a nutrient in the fermentation process during ethanol production<sup>5</sup>. We consider the embedded energy and GHG emissions from building, equipment and labor used in the processing stage. The GHG emissions from bagasse and residue combustion are also considered. The bagasse generated and unused leaves are combusted to produce electricity. The cogeneration system supplies all the process energy, and the excess electricity is exported to the electrical grid. The data used in the ethanol processing stage are provided in **Table S4**.

#### **Energy**

*Input energy*<sup>*i*</sup> = Agave cultivation<sub>*i*</sub> + Harvest & Transport<sub>*i*</sub> + Ethanol production<sub>*i*</sub>

*Agave cultivation*<sub>*I*</sub> =  $\sum$  *(Embedded energy of agrochemicals)* \* *(Application Rate)* +  $\sum$  *(Transport energy (fuel) for agrochemicals & soil amendments \*application rate)* + *Farm labor energy + Farm machinery energy +Embedded energy of seed.* 

*Harvest & Transport*  $i =$  *Harvest energy* + *Transport energy* 

*Ethanol production<sup>i</sup> = Embedded energy of ammonium sulphate + Transport energy (fuel) for ammonium sulphate + Embedded energy of Lubricants and antifoam energy + Embedded energy for buildings and equipment + Ethanol processing labor energy.* 

*Output energy<sup>i</sup> = Ethanol energy + Electricity export* 

*Ethanol energy = ethanol produced (liters) \* 21.2 (MJ)* 

*Electricity export = Electricity surplus from ethanol processing + electricity generated from surplus residue and bagasse.* 

#### **Greenhouse gas emissions (GHG)**

*GHG emissions*<sub>ghg</sub> = Agave cultivation<sub>ghg</sub> + Harvest & Transport<sub>ghg</sub> + Ethanol production<sub>ghg</sub>

*Agave Cultivation*<sub>ghg</sub> =  $\sum$  *(Embedded GHG of agrochemicals)* +  $\sum$  *(GHG from transport of agrochemicals & soil amendments) + GHG from Farm labor energy use + Embedded GHG of Farm machinery + GHG from fuel use of machinery + Embedded GHG of seed.* 

*Harvest & Transportghg = Harvestghg+ Transportghg*

*Ethanol productionghg = Embedded GHG of Ammonium sulphateghg+ GHG from transport (fuel) of ammonium sulphate + Embedded GHG of lube and antiformghg+ Embedded GHG of building & equipmentghg+ GHG from bagasse & residue combustionghg*

Life cycle fossil energy use and GHG emissions for the US generation mix (mean) are 2.91 MJ and 193 gCO<sub>2</sub>e per MJ of electricity produced respectively<sup>5</sup>. The net GHG offsets for different

yield scenarios of agave are calculated as the fossil fuel energy saved by manufacturing ethanol from agave compared to gasoline  $(94g CO<sub>2</sub>)$  e to produce one MJ of gasoline) in addition to fossil fuel energy saved by exporting electricity by combustion of agave residues.<sup>4,5</sup> In other words the total GHG offsets include offsets by agave derived-ethanol displacing gasoline and surplus electricity displacing grid electricity minus the GHG emission resulting from the production of agave derived ethanol and electricity.<sup>4,5</sup>

*GHG offsetselectricity export = 0.193 (Kg) \* electricity export (MJ)* 

*GHG offsetsethanol = 0.094 (Kg) \* ethanol energy (MJ)* 

*Total GHG offsets = (GHG offsetsethanol + GHG offsetselectricity export) – GHG emissionsghg.* 

**Table S1**: Data used for life cycle analysis (cultivation stage) of agave-derived ethanol over a 6 year cycle (from Yan et al 2011)<sup>5</sup>.



Plant	Portion	Fresh	Dry Biomass	Sugar content		Fiber	Ash	Water
age of 6		<b>Biomass</b>	(Kg)	$\left(\frac{0}{0}\right)$		content	content	content
years		(Kg)				Dry $(\%)$	Dry $(\% )$	$(\%)$
				Fresh	Dry			
<b>Stem</b>	Usable	40.3	12.1	24	80	16	$\overline{4}$	70
Leaves	Usable	31.23	6.87	6.6	30	61	9	78
	Residue	13.66	6.83	$\theta$	$\theta$	87	13	50

**Table S2**. Data used to estimate agave yield estimation (from Yan et al  $2011$ )<sup>5</sup>.

**Table S3**: Data used for harvest and transport stage (calculated from data in Table S2).



Table S4. Data used for ethanol production (from Yan et al 2011)<sup>5</sup>.



We assumed that bagasse consists of fibrous material and has moisture content of 50% and the energy content of bagasse and residue to be 7.53  $MJKg<sup>-1</sup>$  (Yan et al 2011)<sup>5</sup>. Assumes that 1 Mg of fresh agave includes 0.565 Mg of stem and 0.436 Mg of usable leaves.

#### **Life cycle analysis of Solar PV**

We considered a solar PV installation, as PV is the dominant technology for current and proposed solar installations. Further, there might be other logistic constraints for colocation of biofuels in CSP installations due to intensive infrastructure. As per the Department of Energy (DOE) projects 90% of the future installations will be solar PV. Also there are other issues of colocation with solar thermal power plants due to intensive onsite infrastructure. US Department of Energy (2012) predictions for the sunshot scenario are 303 GW of PV and 28 GW of CSP for  $2030$ <sup>3</sup>.

The data for LCA of solar PV was derived from Ito et al 2007, Raugei et al. 2007, Fthenakis and Kim, 2011<sup>8,9,10</sup>. Solar insolation of 2100 Kwh/m2/year, typical of North American deserts. The installation consists of a basic array of fixed flat plate systems with approximately 3500 multicrystalline silicon (120 Wp m-Si) PV modules (approximate module area of  $1m^2$ ) with an efficiency of 13% (an output of 420 KWp ha<sup>-1</sup>)<sup>8</sup>. The performance ratio of this PV infrastructure is assumed to be 70%, which is typical of desert areas<sup>11</sup>. The annual power generation is calculated as follows.

*Annual Power Generation = solar insolation X efficiency X module area X performance ratio* 

#### **Energy and greenhouse gas emission from PV**

Adopted the values from Ito et al 2007, Raugei et al. 2007; Fthenakis and Kim, 2011<sup>8,9,10</sup>.

The energy inputs for producing one MWp (megawatt-peak) of m-Si module and balance of system components total 31333 GJ<sup>8</sup>. This included PV modules, array support, foundation, cable, transportation, transmission, and other components. The GHG emissions resulting from production of one KWh of m-Si module and balance of system components are 37 and 20  $gCO<sub>2</sub>e$ respectively<sup>9,10</sup>.

Energy of cleaning panels was assumed to be similar to irrigation energy requirements for agave.

To account for the decline in power output due to dust deposition we adopted the derate model developed by Kimber et al  $(2006)^{12}$  based on experimental observations from large solar PV installations in the south western United States. We used a derate rate of  $0.3\%$ <sup>12</sup> in performance ratio per day for rainless periods (7 months).

#### **Water use for cleaning panels**

We complied the existing (but limited) information on water requirements for large solar installations from project planning reports of proposed large solar infrastructures around the world. There is no information available for schedule of washing panels. The water requirements per washing event ranged from 18000 (Israel, personal communication) to 43000 liters (US) MW<sub>p</sub><sup>-1</sup> or ~9000 to 22000 liters ha<sup>-1</sup>. We adopted the values from a solar installation in the southwestern United States<sup>1,2</sup>.

In this study we used the water requirements for washing as  $20000$  literha<sup>-1</sup>, which is equivalent to 2 mm of rainfall per panel cleaning event. We adopted a washing schedule of once every week for dry periods of the year (7 months, 28 washes) and once a month for rest of the year (5 months, 5 washes). Additional water equivalent to 1 mm rainfall was used to for dust suppression by adding moisture to soil (twice a month for 7 months and 6 times for the remaining 5 months). In addition to this, there are water inputs (14 mm per year) along with stillage application. Solar PV infrastructures also use minor amounts of water of maintaining additional facilities, potable water etc. Total water application for cleaning is approximately equivalent to 100 mm (annual) of rainfall.

Energy requirement for water application is assumed to be similar for irrigation in the case of agave cultivation. We assume that the total water use for operation of a PV infrastructure with dust control to be 100 mm rainfall (annual) equivalent.

#### **Agave cultivation & Ethanol processing costs**

Table S5: Cultivation cost of Agave (from Nunez et al 2011 and Crago et al 2010)<sup>13,14</sup>.



In our analysis we use an agave cultivation and ethanol processing cost of \$1250, \$1750 and \$3250 for low, baseline and high yield scenario.

#### **Installation and operation cost of solar PV**

We assumed an installation & operation cost of 4\$  $W_p^{-1}$  (range of 3-5  $W_p^{-1}$ )<sup>15,16,17,18</sup>.

**Table S6.** Installation cost of solar PV used in this study.



#### **Wholesale price of Electricity & Ethanol**

The wholesale electricity price (mean) used in this analysis was 100\$ MWh<sup>-1</sup> (range of 80 to 120) 15,16,17,18 and the life time (30 year) construction and operation costs (mean) were taken as \$4  $SW_p^{-1}$  (3 - 5  $SW_p^{-1}$ ). <sup>15,16,17,18</sup> The wholesale ethanol price used in this analysis was 2.75\$ gallon<sup>-1</sup> <sup>19</sup>. The wholesale cost of electricity (mean) for electricity exported from combustion of bagasse and residue is assumed to be  $$100 \text{ MWh}^{-1}$  (range of 80-120 \$ MWh<sup>-1</sup>). <sup>20,21</sup>

#### **Sensitivity and uncertainty analysis**

#### **Sensitivity analysis**

Sensitivity analysis (one-at-a-time local sensitivity analysis) was performed for solar PV installation and agave-derived ethanol. We defined a base case of all the parameters considered, identified a range of uncertainty for each parameter and then tested the effect of changing each parameter from its minimum to maximum value. We use module efficiency, insolation, performance ratio and number of modules per ha for the solar PV infrastructure and overall sugar utilization efficiency and number of plants per ha for the agave-ethanol system as input parameters. The input parameters and ranges for the sensitivity analysis are provided in **Table S7**.

Sensitivity analysis indicated that the changes in the input parameters – efficiency and number of modules for solar PV and overall sugar utilization efficiency and number of plants for agave have significant impacts on the total energy output and greenhouse gas offsets. For the solar PV the difference in total energy output for maximum and minimum values of efficiency (11 % and 15%) and number of modules (3000 and 4000) was 741 and 688 GJha-1year-1, while the difference for green house gas offsets were 145 and 135 Mg  $CO_2e$  ha<sup>-1</sup>y<sup>-1</sup> respectively (**Figure S3 and S4**). In the case of agave-derived ethanol (baseline yield scenario) the difference in total energy output for maximum and minimum values of sugar utilization efficiency (70 and 90%) and number of plants per ha (2850 and 3850) were 18 GJha<sup>-1</sup>year<sup>-1</sup> and 26 Mg CO<sub>2</sub>e ha<sup>-1</sup>y<sup>-1</sup>, while the difference for net greenhouse gas offsets were 1.6 and 2.3 Mg  $CO_2e$  ha<sup>-1</sup>y<sup>-1</sup> respectively (**Figure S5 and S6**).

**Table S7.** Input parameters for sensitivity analysis





**Figure S3.** Sensitivity analysis for solar PV: Change in energy output (GJha<sup>-1</sup>y<sup>-1</sup>).



**Figure S4.** Sensitivity analysis for solar PV: Change in GHG offsets (MgCO2e ha<sup>-1</sup>y<sup>-1</sup>).



**Figure S5.** Sensitivity analysis for agave-derived ethanol (baseline yield): Change in total energy output (GJha<sup>-1</sup>y<sup>-1</sup>). N- number of plants per ha, eff- overall sugar utilization efficiency.



**Figure S6.** Sensitivity analysis for agave-derived ethanol (baseline yield): Change in net GHG offsets (MgCO2<sub>e</sub> ha<sup>-1</sup>y<sup>-1</sup>). N- number of plants per ha, eff- overall sugar utilization efficiency.

### **Uncertainty Analysis**

We addressed the uncertainty in our analysis by using a Monte Carlo simulation approach. The analysis was performed using the input values of the two most sensitive input parameters as identified by a sensitivity analysis. The input parameters considered for solar installation were efficiency (range of 11 to 15%) and number of modules per ha (2500 to 3500). The input variables considered for agave derived solar were the overall sugar utilization efficiency (70 to 90%) and number of plants per ha (2850 to 3850). The input variables were assumed to be independent and were randomly selected from a uniform distribution and the output simulation was repeated  $10^4$  times. The maximum, mean, minimum and quantiles of outputs for solar PV (outputs: energy input, energy output, green house gas emissions and net greenhouse gas offsets) and the three yield scenarios of agave (outputs: energy input, total energy output, ethanol energy, electricity export green house gas emissions and net greenhouse gas offsets) were reported. The input parameters and ranges for the Monte Carlo analysis are provided in **Table S8**.





 $*$ In this study we used a cultivation cost range 1000-1500 (low yield), 1500-2000 (baseline yield), 3000-3500 (high yield) \$ha<sup>-1</sup>. The detailed results of the Monte Carlo Analysis are provided in **Tables S9-S12**.

#### **Table S9. Monte Carlo analysis for solar PV**





**Table S10**. **Monte Carlo analysis for agave** (high yield scenario)

#### **Table S11. Monte Carlo analysis for agave** (baseline yield scenario)





#### **Table S12**. **Monte Carlo analysis for agave** (low yield scenario)

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